

DETAILED MODEL PLAN (LIHEAP)

Program Name: Low Income Home Energy Assistance

Grantee Name: Tanana Chiefs

Report Name: DETAILED MODEL PLAN (LIHEAP) Revision # 1

Report Period: 10/01/2021 to 09/30/2022

Report Status: Submission Accepted by CO (Revision #1)

Report Sections

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17. *Section 16 - Performance Goals and Measures, 2605(b)*
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Mandatory Grant Application SF-424

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92,02/95,03/96,12/98,11/01
OMB Clearance No.: 0970-0075
Expiration Date: 12/31/2023

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

| | | | |
|--|---|---|--|
| * 1.a. Type of Submission: <input checked="" type="radio"/> Plan | * 1.b. Frequency: <input checked="" type="radio"/> Annual | * 1.c. Consolidated Application/Plan/Funding Request? Explanation: | * 1.d. Version: <input checked="" type="radio"/> Initial <input type="radio"/> Resubmission <input type="radio"/> Revision <input type="radio"/> Update |
| | | 2. Date Received: | State Use Only: |
| | | 3. Applicant Identifier: | |
| | | 4a. Federal Entity Identifier: | 5. Date Received By State: |
| | | 4b. Federal Award Identifier: | 6. State Application Identifier: |

7. APPLICANT INFORMATION

| | | | |
|--|------------------------|--|------------------|
| * a. Legal Name: Dena'Nena'Henash - Tanana Chiefs Conference | | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 920040308 | | * c. Organizational DUNS: 071845358 | |
| * d. Address: | | | |
| * Street 1: | TANANA CHIEFS BUILDING | Street 2: | 122 FIRST AVENUE |
| * City: | FAIRBANKS | County: | |
| * State: | AK | Province: | |
| * Country: | United States | * Zip / Postal Code: | 99701 - |

e. Organizational Unit:

| | |
|---|---|
| Department Name: Tribal Client Services | Division Name: Client Development |
|---|---|

f. Name and contact information of person to be contacted on matters involving this application:

| | | | |
|--|---------------------------------|--|------------------------------|
| Prefix: Ms. | * First Name: Debbie | Middle Name: J | * Last Name: Meade |
| Suffix: | Title: Controller | Organizational Affiliation: Tanana Chiefs Conference | |
| * Telephone Number: (907) 452-8251 | Fax Number: 907459914 | * Email: debbie.meade@tananachiefs.org | |

*** 8a. TYPE OF APPLICANT:**
K: Indian/Native American Tribally Designated Organization

b. Additional Description:

*** 9. Name of Federal Agency:**

| | | |
|------------------------------------|---|---|
| | Catalog of Federal Domestic Assistance Number: | CFDA Title: |
| 10. CFDA Numbers and Titles | 93.568 | Low-Income Home Energy Assistance Program |

11. Descriptive Title of Applicant's Project
Energy Assistance Program


12. Areas Affected by Funding:
Tanana Chiefs Conference Region, Interior Alaskan Villages

13. CONGRESSIONAL DISTRICTS OF:

| | |
|-----------------------------|---|
| * a. Applicant AK | b. Program/Project: Alaska Interior |
|-----------------------------|---|

Attach an additional list of Program/Project Congressional Districts if needed.

| | |
|----------------------------|-------------------------------|
| 14. FUNDING PERIOD: | 15. ESTIMATED FUNDING: |
|----------------------------|-------------------------------|

| | | | |
|---|-----------------------------------|---|------------------------------|
| a. Start Date: 10/01/2021 | b. End Date: 09/30/2022 | * a. Federal (\$): \$0 | b. Match (\$): \$0 |
| * 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS? | | | |
| a. This submission was made available to the State under the Executive Order 12372 | | | |
| Process for Review on : | | | |
| b. Program is subject to E.O. 12372 but has not been selected by State for review. | | | |
| c. Program is not covered by E.O. 12372. | | | |
| * 17. Is The Applicant Delinquent On Any Federal Debt? | | | |
| <input type="radio"/> YES | | | |
| <input checked="" type="radio"/> NO | | | |
| Explanation: | | | |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | | | |
| **I Agree <input checked="" type="checkbox"/> | | | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. | | | |
| 18a. Typed or Printed Name and Title of Authorized Certifying Official Debbie J. Meade, Controller | | 18c. Telephone (area code, number and extension) (907) 452-8251 | |
| | | 18d. Email Address debbie.meade@tananachiefs.org | |
| 18b. Signature of Authorized Certifying Official  | | 18e. Date Report Submitted (Month, Day, Year) 10/05/2021 | |
| Attach supporting documents as specified in agency instructions. | | | |

Section 1 - Program Components

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92,02/95,03/96,12/98,11/01
OMB Clearance No.: 0970-0075
Expiration Date: 12/31/2023

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

Department of Health and Human Services
Administration for Children and Families
Office of Community Services
Washington, DC 20201

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Approval No. 0970-0075
Expiration Date: 12/31/2023

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13) Use of this model plan is optional. However, the information requested is required in order to receive a Low Income Home Energy Assistance Program (LIHEAP) grant in years in which the grantee is not permitted to file an abbreviated plan. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Section 1 Program Components

Program Components, 2605(a), 2605(b)(1) - Assurance 1, 2605(c)(1)(C)

| 1.1 Check which components you will operate under the LIHEAP program. (Note: You must provide information for each component designated here as requested elsewhere in this plan.) | Dates of Operation | |
|---|--------------------|------------|
| | Start Date | End Date |
| <input checked="" type="checkbox"/> Heating assistance | 10/01/2021 | 09/30/2022 |
| <input checked="" type="checkbox"/> Cooling assistance | 10/01/2021 | 09/30/2022 |
| <input checked="" type="checkbox"/> Crisis assistance | 10/01/2021 | 09/30/2022 |
| <input checked="" type="checkbox"/> Weatherization assistance | 10/01/2021 | 09/30/2022 |

Provide further explanation for the dates of operation, if necessary

Heating Assistance: November through March are the coldest months in Interior Alaska, where temperatures can drop to below -60 plus degrees, although it starts getting a chill in the air around end of August and continues through May and beginning of June. Tanana Chiefs Conference will provide wood and oil throughout the Fiscal Year 2022. Cooling Assistance: Only 1% of funding set aside to assist Elders and vulnerable population in each village as the temperatures can reach 90 degrees in June, July, or August, which is dangerous without help. Crisis Assistance: This will be utilized on a case by case basis, throughout most of the fiscal year, with direction from the Tribe to eligible households, serving vulnerable populations first and foremost. Weatherization Assistance: Available to eligible households throughout most of the year, they have to request it on their initial application for energy assistance but bulk of this assistance is in summer months for easier repairs.

Estimated Funding Allocation, 2604(C), 2605(k)(1), 2605(b)(9), 2605(b)(16) - Assurances 9 and 16

| 1.2 Estimate what amount of available LIHEAP funds will be used for each component that you will operate: The total of all percentages must add up to 100%. | Percentage (%) |
|---|------------------|
| Heating assistance | 65.00% |
| Cooling assistance | 1.00% |
| Crisis assistance | 5.00% |
| Weatherization assistance | 7.00% |
| Carryover to the following federal fiscal year | 10.00% |
| Administrative and planning costs | 10.00% |
| Services to reduce home energy needs including needs assessment (Assurance 16) | 1.00% |
| Used to develop and implement leveraging activities | 1.00% |
| TOTAL | 100.00% |

Alternate Use of Crisis Assistance Funds, 2605(c)(1)(C)

| | | | | | |
|---|--|--|--|--|--|
| 1.3 The funds reserved for winter crisis assistance that have not been expended by March 15 will be reprogrammed to: | | | | | |
| <input checked="" type="checkbox"/> | Heating assistance | | <input checked="" type="checkbox"/> | Cooling assistance | |
| <input checked="" type="checkbox"/> | Weatherization assistance | | <input type="checkbox"/> | Other (specify:) | |
| Categorical Eligibility, 2605(b)(2)(A) - Assurance 2, 2605(c)(1)(A), 2605(b)(8A) - Assurance 8 | | | | | |
| 1.4 Do you consider households categorically eligible if one household member receives one of the following categories of benefits in the left column below? <input type="radio"/> Yes <input checked="" type="radio"/> No | | | | | |
| If you answered "Yes" to question 1.4, you must complete the table below and answer questions 1.5 and 1.6. | | | | | |
| | | Heating | Cooling | Crisis | Weatherization |
| TANF | | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No |
| SSI | | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No |
| SNAP | | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No |
| Means-tested Veterans Programs | | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No |
| | Program Name | Heating | Cooling | Crisis | Weatherization |
| Other(Specify) 1 | | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No | <input type="radio"/> Yes <input type="radio"/> No |
| 1.5 Do you automatically enroll households without a direct annual application? <input type="radio"/> Yes <input checked="" type="radio"/> No | | | | | |
| If Yes, explain: | | | | | |
| 1.6 How do you ensure there is no difference in the treatment of categorically eligible households from those not receiving other public assistance when determining eligibility and benefit amounts? | | | | | |
| SNAP Nominal Payments | | | | | |
| 1.7a Do you allocate LIHEAP funds toward a nominal payment for SNAP households? <input type="radio"/> Yes <input checked="" type="radio"/> No | | | | | |
| If you answered "Yes" to question 1.7a, you must provide a response to questions 1.7b, 1.7c, and 1.7d. | | | | | |
| 1.7b Amount of Nominal Assistance: \$0.00 | | | | | |
| 1.7c Frequency of Assistance | | | | | |
| <input type="checkbox"/> | Once Per Year | | | | |
| <input type="checkbox"/> | Once every five years | | | | |
| <input type="checkbox"/> | Other - Describe: | | | | |
| 1.7d How do you confirm that the household receiving a nominal payment has an energy cost or need? | | | | | |
| Determination of Eligibility - Countable Income | | | | | |
| 1.8. In determining a household's income eligibility for LIHEAP, do you use gross income or net income ? | | | | | |
| <input checked="" type="checkbox"/> | Gross Income | | | | |
| <input type="checkbox"/> | Net Income | | | | |
| 1.9. Select all the applicable forms of countable income used to determine a household's income eligibility for LIHEAP | | | | | |
| <input checked="" type="checkbox"/> | Wages | | | | |
| <input checked="" type="checkbox"/> | Self - Employment Income | | | | |
| <input checked="" type="checkbox"/> | Contract Income | | | | |
| <input type="checkbox"/> | Payments from mortgage or Sales Contracts | | | | |
| <input checked="" type="checkbox"/> | Unemployment insurance | | | | |
| <input type="checkbox"/> | Strike Pay | | | | |
| <input type="checkbox"/> | Social Security Administration (SSA) benefits | | | | |
| <input type="checkbox"/> | Including MediCare deduction | <input type="checkbox"/> | Excluding MediCare deduction | | |

| | |
|-------------------------------------|--|
| <input type="checkbox"/> | Supplemental Security Income (SSI) |
| <input checked="" type="checkbox"/> | Retirement / pension benefits |
| <input checked="" type="checkbox"/> | General Assistance benefits |
| <input checked="" type="checkbox"/> | Temporary Assistance for Needy Families (TANF) benefits |
| <input type="checkbox"/> | Supplemental Nutrition Assistance Program (SNAP) benefits |
| <input type="checkbox"/> | Women, Infants, and Children Supplemental Nutrition Program (WIC) benefits |
| <input checked="" type="checkbox"/> | Loans that need to be repaid |
| <input checked="" type="checkbox"/> | Cash gifts |
| <input type="checkbox"/> | Savings account balance |
| <input checked="" type="checkbox"/> | One-time lump-sum payments, such as rebates/credits, winnings from lotteries, refund deposits, etc. |
| <input type="checkbox"/> | Jury duty compensation |
| <input checked="" type="checkbox"/> | Rental income |
| <input checked="" type="checkbox"/> | Income from employment through Workforce Investment Act (WIA) |
| <input checked="" type="checkbox"/> | Income from work study programs |
| <input checked="" type="checkbox"/> | Alimony |
| <input checked="" type="checkbox"/> | Child support |
| <input checked="" type="checkbox"/> | Interest, dividends, or royalties |
| <input type="checkbox"/> | Commissions |
| <input type="checkbox"/> | Legal settlements |
| <input type="checkbox"/> | Insurance payments made directly to the insured |
| <input type="checkbox"/> | Insurance payments made specifically for the repayment of a bill, debt, or estimate |
| <input checked="" type="checkbox"/> | Veterans Administration (VA) benefits |
| <input type="checkbox"/> | Earned income of a child under the age of 18 |
| <input type="checkbox"/> | Balance of retirement, pension, or annuity accounts where funds cannot be withdrawn without a penalty. |
| <input type="checkbox"/> | Income tax refunds |
| <input type="checkbox"/> | Stipends from senior companion programs, such as VISTA |
| <input type="checkbox"/> | Funds received by household for the care of a foster child |
| <input checked="" type="checkbox"/> | Ameri-Corp Program payments for living allowances, earnings, and in-kind aid |
| <input type="checkbox"/> | Reimbursements (for mileage, gas, lodging, meals, etc.) |
| <input checked="" type="checkbox"/> | Other |

Self-employment income for the cost of doing business deduction will be calculated as net income. Income received in the prior month from the application signature date will be the income used to determine eligibility. The following will be Exempt income; Permanent Fund Dividend, Old Age Benefit, Senior Assistance Program, and Interest payments from Alaska Native Claims Settlement Act, 1971 up to \$2000, Per Capita payments from other Federal Recognized Tribal Corporations/Organizations up to \$2000. Economic Impact payments such as Child Care Credits shall not be counted.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 2 - HEATING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92,02/95,03/96,12/98,11/01
OMB Clearance No.: 0970-0075
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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

Section 2 - Heating Assistance

Eligibility, 2605(b)(2) - Assurance 2

2.1 Designate the income eligibility threshold used for the heating component:

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

2.2 Do you have additional eligibility requirements for HEATING ASSISTANCE? Yes No

2.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test ? Yes No

Do you have additional/differing eligibility policies for:

| | |
|--|---|
| Renters? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters Living in subsidized housing ? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters with utilities included in the rent ? | <input checked="" type="radio"/> Yes <input type="radio"/> No |

Do you give priority in eligibility to:

| | |
|--|---|
| Elderly? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Disabled? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Young children? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Households with high energy burdens ? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Other? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Explanations of policies for each "yes" checked above:

Renters whose home heating cost are included as an unidentified part of their rent, single, two and three-unit dwellings will receive 100% of the home heating benefit for their income/single family household size paid directly to the landlord to be applied to their rent. Renters whose dwellings with four or more units will receive 75% of the total home heating benefit for their income/single family household size paid directly to the landlord to be applied to their rent. Elders at least 60 years of age, Disabled (certified medical condition), and to households with young children under the age of 6 years old are given priority in eligibility.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

2.4 Describe how you prioritize the provision of heating assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

Once funding is confirmed Energy Assistance applications are first mailed out two weeks prior to Elders, Disabled, and to households with young children, As Energy Assistance applications are received we screen each application for Elders, Disabled and young children living in the household. Vulnerable household applications are placed ahead of all other non-vulnerable households' applications during the entire application period.

2.5 Check the variables you use to determine your benefit levels. (Check all that apply):

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Income |
| <input checked="" type="checkbox"/> | Family (household) size |
| <input checked="" type="checkbox"/> | Home energy cost or need: |
| <input type="checkbox"/> | Fuel type |
| <input type="checkbox"/> | Climate/region |
| <input checked="" type="checkbox"/> | Individual bill |
| <input type="checkbox"/> | Dwelling type |
| <input type="checkbox"/> | Energy burden (% of income spent on home energy) |

| | | | |
|---|-------|-----------------|---------|
| <input type="checkbox"/> Energy need | | | |
| <input checked="" type="checkbox"/> Other - Describe: | | | |
| <p>Applicants will be asked to submit a copy of their most recent heating bill from the local heating vendor with their application. If paper verification is not provided with application, a phone call will be placed to the heating vendor and a verbal verification will be obtained and documented.</p> | | | |
| Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B) | | | |
| 2.6 Describe estimated benefit levels for the fiscal year for which this plan applies | | | |
| Minimum Benefit | \$275 | Maximum Benefit | \$2,385 |
| 2.7 Do you provide in-kind (e.g., blankets, space heaters) and/or other forms of benefits? <input checked="" type="radio"/> Yes <input type="radio"/> No | | | |
| If yes, describe. | | | |
| <p>Supplement benefit payment made to household if additional heating assistance funds are available at the end of the season. Supplement benefit payment is calculated as a percentage of what they received in their original benefit payment to the household.</p> | | | |
| <p>If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.</p> | | | |

Section 3 - COOLING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

Section 3 - Cooling Assistance

Eligibility, 2605(c)(1)(A), 2605 (b)(2) - Assurance 2

3.1 Designate The income eligibility threshold used for the Cooling component:

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

3.2 Do you have additional eligibility requirements for COOLING ASSISTANCE? Yes No

3.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test ? Yes No

Do you have additional/differing eligibility policies for:

| | |
|---|---|
| Renters? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters Living in subsidized housing ? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters with utilities included in the rent ? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Do you give priority in eligibility to:

| | |
|---------------------------------------|---|
| Elderly? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Disabled? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Young children? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Households with high energy burdens ? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Other? | <input type="radio"/> Yes <input type="radio"/> No |

Explanations of policies for each "yes" checked above:

Priority will be given to Elders, Disabilities in the households, and preference to households with young children under 6 years of age.

3.4 Describe how you prioritize the provision of cooling assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

We are only setting aside 1% towards cooling, our summer months get hot, around the 90's in some places. The Elders especially are not prepared to cope with the extreme hot temperatures. The vulnerable populations can be assisted with fans, window screens for airflow and keep out the mosquitos, and air conditioners for local gathering places such as Tribal Halls. Only a few Tribes have air conditioned office space or Elders meeting room for a community cooling area for relief.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.5 Check the variables you use to determine your benefit levels. (Check all that apply):

| | | |
|-------------------------------------|--|--|
| <input checked="" type="checkbox"/> | Income | |
| <input checked="" type="checkbox"/> | Family (household) size | |
| <input checked="" type="checkbox"/> | Home energy cost or need: | |
| <input type="checkbox"/> | Fuel type | |
| <input type="checkbox"/> | Climate/region | |
| <input type="checkbox"/> | Individual bill | |
| <input type="checkbox"/> | Dwelling type | |
| <input type="checkbox"/> | Energy burden (% of income spent on home energy) | |
| <input type="checkbox"/> | Energy need | |



Other - Describe:

Requests from Tribal offices for assistance with vulnerable populations will be the priority, then assistance to other household requests.

Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.6 Describe estimated benefit levels for the fiscal year for which this plan applies

| | | | |
|-----------------|-------|-----------------|-------|
| Minimum Benefit | \$100 | Maximum Benefit | \$350 |
|-----------------|-------|-----------------|-------|

3.7 Do you provide in-kind (e.g., fans, air conditioners) and/or other forms of benefits? Yes No

If yes, describe.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 4 - CRISIS ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

Section 4: CRISIS ASSISTANCE

Eligibility - 2604(c), 2605(c)(1)(A)

4.1 Designate the income eligibility threshold used for the crisis component

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

4.2 Provide your LIHEAP program's definition for determining a crisis.

Households who have a soul source heating unit and they are in jeopardy of running out of heating fuel source within 5 days. Households with duel source heating units and they are in jeopardy of running out of both heating fuel sources within 5 days.

4.3 What constitutes a life-threatening crisis?

Household who are in jeopardy of having services discontinued within 2 days by their identified vendor because of the applicant's inability to pay for service and there is no other heating fuel source available to heat their home. Household who have a non-functioning heating unit and does not have another heating source available in order to heat their home. Household is out of fuel source or will be out of fuel source within 2 days.

Crisis Requirement, 2604(c)

4.4 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households? 48Hours

4.5 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households in life-threatening situations? 18Hours

Crisis Eligibility, 2605(c)(1)(A)

4.6 Do you have additional eligibility requirements for CRISIS ASSISTANCE? Yes No

4.7 Check the appropriate boxes below and describe the policies for each

Do you require an Assets test ? Yes No

Do you give priority in eligibility to :

Elderly? Yes No

Disabled? Yes No

Young Children? Yes No

Households with high energy burdens? Yes No

Other? Yes No

In Order to receive crisis assistance:

Must the household have received a shut-off notice or have a near empty tank? Yes No

Must the household have been shut off or have an empty tank? Yes No

Must the household have exhausted their regular heating benefit? Yes No

Must renters with heating costs included in their rent have received an eviction notice ? Yes No

Must heating/cooling be medically necessary? Yes No

Must the household have non-working heating or cooling equipment? Yes No

Other? Yes No

Do you have additional / differing eligibility policies for:

| | |
|---|--|
| Renters? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters living in subsidized housing? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters with utilities included in the rent? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Explanations of policies for each "yes" checked above: | |
| <p>Crisis and life-threatening crisis assistance will be provided within 48 or 18 hours, respectively, after eligibility is determined. If after the original grant award benefit is exhausted and the home faces a heating crisis or electricity disconnect additional assistance up to 25% of the original grant will be paid to household's vendor. If there is a supply shortage by exhaustion of bulk fuel storage, natural disaster or vendor mismanagement, additional payments will be made if no other agency will provide for the applicants energy and fuel sources needed and transportation cost. The maximum amount payable will be 50% of the original grant award.</p> <p>Households consisting of an Elder (at least 60 years of age), Disabled (certified, debilitating medical condition) and very young children (under the age of 6) will be prioritized for crisis assistance services. For the purpose of verifying a household's energy crisis, a phone or email contact to the Tribal Council office will be made to verify the household's situation when a disconnect notice is not attainable.</p> <p>For crisis situations where an original grant award has not been given for renters who's household dwelling has 4 or more units will receive 75% of the benefit amount that a single household would receive. If after the original grant award has already been given then an additional 25% of the original 75% grant award will be paid.</p> | |
| Determination of Benefits | |
| 4.8 How do you handle crisis situations? | |
| <input checked="" type="checkbox"/> | Separate component |
| <input type="checkbox"/> | Fast Track |
| <input type="checkbox"/> | Other - Describe: When a crisis situation arises that is the top priority and we work to ensure heating fuel or wood be delivered that day or as soon as possible. Both the Tribe and the vendor is communicated with immediately, along with the client/recipient of the crisis benefit. Alaska's winters can become very cold for long periods of time and usually the Tribal Offices or family members keep track of the welfare of the vulnerable population. We are alerted by either the Tribe, the person working with Energy Assistance at the Tribal office, the Chief, the Tribal Administrator, the client or a family member to make us aware of any crisis situation. Usually crisis is diverted within the same day. |
| 4.9 If you have a separate component, how do you determine crisis assistance benefits? | |
| <input checked="" type="checkbox"/> | Amount to resolve the crisis. |
| <input checked="" type="checkbox"/> | Other - Describe: Crisis payments are 25% of the persons EA benefit amount, unless there is a supply shortage of fuel, natural disaster, or vendor mismanagement then additional payment will be made if no other agency will provide for the applicants energy and fuel sources needed and freight costs; therefore maximum benefit amount would be 50% of the EA benefit award. |
| Crisis Requirements, 2604(c) | |
| 4.10 Do you accept applications for energy crisis assistance at sites that are geographically accessible to all households in the area to be served? | |
| <input checked="" type="radio"/> Yes <input type="radio"/> No Explain. | |
| Each of our tribal village communities have a Tribal Council with staff to assist when needing to complete an application requesting crisis assistance. Applications can then be sent in by fax, or email directly to the TCC Eligibility Specialist to be processed. | |
| 4.11 Do you provide individuals who are physically disabled the means to: | |
| Submit applications for crisis benefits without leaving their homes? | |
| <input checked="" type="radio"/> Yes <input type="radio"/> No If No, explain. | |
| Travel to the sites at which applications for crisis assistance are accepted? | |
| <input type="radio"/> Yes <input checked="" type="radio"/> No If No, explain. | |
| If you answered "No" to both options in question 4.11, please explain alternative means of intake to those who are homebound or physically disabled? | |
| Each of our tribal village communities have a Tribal Council with staff to assist when needed to do a home visit and assist the household with completing the Energy Assistance application and submitting the application. In cases where there is no Tribal Council for the community, over the phone applications will be accepted and obtaining income verification and signatures will be done through postal mail. No more than 100 gallons of fuel or 1 cord of wood will be approved until income has been verified. | |
| Benefit Levels, 2605(c)(1)(B) | |
| 4.12 Indicate the maximum benefit for each type of crisis assistance offered. | |
| Winter Crisis | \$750.00 maximum benefit |
| Summer Crisis | \$750.00 maximum benefit |

| | | | |
|--|-----------------------------------|--------------------------------------|--|
| Year-round Crisis \$750.00 maximum benefit | | | |
| 4.13 Do you provide in-kind (e.g. blankets, space heaters, fans) and/or other forms of benefits? | | | |
| <input type="radio"/> Yes <input checked="" type="radio"/> No If yes, Describe | | | |
| | | | |
| 4.14 Do you provide for equipment repair or replacement using crisis funds? | | | |
| <input type="radio"/> Yes <input checked="" type="radio"/> No | | | |
| If you answered "Yes" to question 4.14, you must complete question 4.15. | | | |
| 4.15 Check appropriate boxes below to indicate type(s) of assistance provided. | | | |
| | Winter C r i s i s | Summer C r i s i s | Year-round C r i s i s |
| Heating system repair | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Heating system replacement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cooling system repair | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cooling system replacement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Wood stove purchase | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Pellet stove purchase | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Solar panel(s) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Utility poles / gas line hook-ups | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (Specify): | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4.16 Do any of the utility vendors you work with enforce a moratorium on shut offs? | | | |
| <input type="radio"/> Yes <input checked="" type="radio"/> No | | | |
| If you responded "Yes" to question 4.16, you must respond to question 4.17. | | | |
| 4.17 Describe the terms of the moratorium and any special dispensation received by LIHEAP clients during or after the moratorium period. | | | |
| | | | |
| | | | |
| If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here. | | | |

Section 5 - WEATHERIZATION ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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August 1987, revised 05/92,02/95,03/96,12/98,11/01
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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

Section 5: WEATHERIZATION ASSISTANCE

Eligibility, 2605(c)(1)(A), 2605(b)(2) - Assurance 2

5.1 Designate the income eligibility threshold used for the Weatherization component

| Add | Household Size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

5.2 Do you enter into an interagency agreement to have another government agency administer a WEATHERIZATION component? Yes No

5.3 If yes, name the agency.

5.4 Is there a separate monitoring protocol for weatherization? Yes No

WEATHERIZATION - Types of Rules

5.5 Under what rules do you administer LIHEAP weatherization? (Check only one.)

- Entirely under LIHEAP (not DOE) rules
- Entirely under DOE WAP (not LIHEAP) rules
- Mostly under LIHEAP rules with the following DOE WAP rule(s) where LIHEAP and WAP rules differ (Check all that apply):
 - Income Threshold
 - Weatherization of entire multi-family housing structure is permitted if at least 66% of units (50% in 2- & 4-unit buildings) are eligible units or will become eligible within 180 days
 - Weatherize shelters temporarily housing primarily low income persons (excluding nursing homes, prisons, and similar institutional care facilities).
 - Other - Describe:
- Mostly under DOE WAP rules, with the following LIHEAP rule(s) where LIHEAP and WAP rules differ (Check all that apply.)
 - Income Threshold
 - Weatherization not subject to DOE WAP maximum statewide average cost per dwelling unit.
 - Weatherization measures are not subject to DOE Savings to Investment Ratio (SIR) standards.
 - Other - Describe:

Eligibility, 2605(b)(5) - Assurance 5

5.6 Do you require an assets test? Yes No

5.7 Do you have additional/differing eligibility policies for :

| | |
|---------------------------------------|---|
| Renters | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Renters living in subsidized housing? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

5.8 Do you give priority in eligibility to:

| | |
|---------------------------------------|---|
| Elderly? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Disabled? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Young Children? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| House holds with high energy burdens? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Other? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

If you selected "Yes" for any of the options in questions 5.6, 5.7, or 5.8, you must provide further explanation of these policies in the text field below.

5.7 - Although TCC does not usually receive weatherization requests from renters, as we assist villages, but when there is a home being rented by an applicant that needs weatherization we do assist where the landlord cannot, for needed assistance.

5.8 - Households consisting of Elder (60+ years old), Disabled (certified, debilitating medical condition), very young children (less than 6 year old), and very large families residing in the same household will be prioritized for weatherization services provided through the program. Eligibility will be determined using the household income and assistance level parameters.

Benefit Levels

5.9 Do you have a maximum LIHEAP weatherization benefit/expenditure per household? Yes No

5.10 If yes, what is the maximum? \$2,500

Types of Assistance, 2605(c)(1), (B) & (D)

5.11 What LIHEAP weatherization measures do you provide ? (Check all categories that apply.)

| | |
|---|---|
| <input checked="" type="checkbox"/> Weatherization needs assessments/audits | <input type="checkbox"/> Energy related roof repair |
| <input checked="" type="checkbox"/> Caulking and insulation | <input type="checkbox"/> Major appliance Repairs |
| <input checked="" type="checkbox"/> Storm windows | <input type="checkbox"/> Major appliance replacement |
| <input checked="" type="checkbox"/> Furnace/heating system modifications/ repairs | <input checked="" type="checkbox"/> Windows/sliding glass doors |
| <input checked="" type="checkbox"/> Furnace replacement | <input checked="" type="checkbox"/> Doors |
| <input type="checkbox"/> Cooling system modifications/ repairs | <input type="checkbox"/> Water Heater |
| <input type="checkbox"/> Water conservation measures | <input type="checkbox"/> Cooling system replacement |
| <input type="checkbox"/> Compact florescent light bulbs | <input checked="" type="checkbox"/> Other - Describe: Replacing leaking or damaged fuel tank, visqueen for windows, LED lighting |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 6 - Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

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Section 6: Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

6.1 Select all outreach activities that you conduct that are designed to assure that eligible households are made aware of all LIHEAP assistance available:

Place posters/flyers in local and county social service offices, offices of aging, Social Security offices, VA, etc.

Publish articles in local newspapers or broadcast media announcements.

Include inserts in energy vendor billings to inform individuals of the availability of all types of LIHEAP assistance.

Mass mailing(s) to prior-year LIHEAP recipients.

Inform low income applicants of the availability of all types of LIHEAP assistance at application intake for other low-income programs.

Execute interagency agreements with other low-income program offices to perform outreach to target groups.

Other (specify):

Additional blank Energy Assistance applications will be provided to each Tribal Office in each community served. Posters describing the program, where to pick up applications and who to contact regarding any questions applicants might have, will be distributed to each Tribal Office and/or community Post Office. Energy Assistance application will be made available at TCC's website www.tananachiefs.org.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 7 - Coordination, 2605(b)(4) - Assurance 4

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Section 7: Coordination, 2605(b)(4) - Assurance 4

7.1 Describe how you will ensure that the LIHEAP program is coordinated with other programs available to low-income households (TANF, SS I, WAP, etc.).

| | |
|-------------------------------------|---|
| <input type="checkbox"/> | Joint application for multiple programs |
| <input checked="" type="checkbox"/> | Intake referrals to/from other programs |
| <input checked="" type="checkbox"/> | One - stop intake centers |
| <input type="checkbox"/> | Other - Describe: |

Within the TCC service area and in each Village there is Tribal personnel, to include authorized signers, located at Tribal Council offices. These Tribal services personnel help with providing outreach information to the entire community for all community service programs. The State refers applicants to TCC if they live in our region. We also provide a number of other State and Federally funded programs to the Interior regions that assist with referrals, to include: TANF, Elder Nutrition, Employment & Training, Education, Disabilities, Head Start, Infant Learning, Child Protection, Youth Emerging Leaders, and Child Care Assistance.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 8 - Agency Designation,, 2605(b)(6) - Assurance 6

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Section 8: Agency Designation, 2605(b)(6) - Assurance 6 (Required for state grantees and the Commonwealth of Puerto Rico)

8.1 How would you categorize the primary responsibility of your State agency?

| | |
|-------------------------------------|-----------------------------|
| <input type="checkbox"/> | Administration Agency |
| <input type="checkbox"/> | Commerce Agency |
| <input type="checkbox"/> | Community Services Agency |
| <input type="checkbox"/> | Energy / Environment Agency |
| <input type="checkbox"/> | Housing Agency |
| <input type="checkbox"/> | Welfare Agency |
| <input checked="" type="checkbox"/> | Other - Describe: Partner |

Alternate Outreach and Intake, 2605(b)(15) - Assurance 15

If you selected "Welfare Agency" in question 8.1, you must complete questions 8.2, 8.3, and 8.4, as applicable.

8.2 How do you provide alternate outreach and intake for HEATING ASSISTANCE?

Tanana Chiefs Conference operates their own LIHEAP program, Housing, Welfare, Community Services programs and does not sub-contract.

8.3 How do you provide alternate outreach and intake for COOLING ASSISTANCE?

Tanana Chiefs Conference operates their own LIHEAP program and does not sub-contract.

8.4 How do you provide alternate outreach and intake for CRISIS ASSISTANCE?

Tanana Chiefs Conference operates their own LIHEAP program and does not sub-contract.

| 8.5 LIHEAP Component Administration. | Heating | Cooling | Crisis | Weatherization |
|--|----------------|----------------|----------------|----------------|
| 8.5a Who determines client eligibility? | Non-Applicable | Non-Applicable | Non-Applicable | Non-Applicable |
| 8.5b Who processes benefit payments to gas and electric vendors? | Non-Applicable | Non-Applicable | Non-Applicable | |
| 8.5c who processes benefit payments to bulk fuel vendors? | Non-Applicable | Non-Applicable | Non-Applicable | |
| 8.5d Who performs installation of weatherization measures? | | | | Non-Applicable |

If any of your LIHEAP components are not centrally-administered by a state agency, you must complete questions 8.6, 8.7, 8.8, and, if applicable, 8.9.

8.6 What is your process for selecting local administering agencies?

8.7 How many local administering agencies do you use? 0

8.8 Have you changed any local administering agencies in the last year?

- Yes
 No

8.9 If so, why?

Agency was in noncompliance with grantee requirements for LIHEAP -

Agency is under criminal investigation

Added agency

Agency closed

Other - describe

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 9 - Energy Suppliers,, 2605(b)(7) - Assurance 7

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Section 9: Energy Suppliers, 2605(b)(7) - Assurance 7

9.1 Do you make payments directly to home energy suppliers?

Heating Yes No

Cooling Yes No

Crisis Yes No

Are there exceptions? Yes No

If yes, Describe.

In the absence of vendor availability, as in the case of individuals requesting assistance to purchase wood for home heating, payments will be made directly to eligible heads of households.

9.2 How do you notify the client of the amount of assistance paid?

At the time of eligibility determination, Tanana Chiefs Conference will notify the eligible household of the amount of the grant award they have been approved to receive by issuing an award letter by mail. Payment will be made to the vendor of the amount that the household is eligible to receive along with a copy of the award letter. Also a copy of the amount approved is sent to the authorized signer at the Tribe.

9.3 How do you assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment?

The vendor agrees to send a monthly statement or a delivery receipt on the usage of the funds received on approved households. Tanana Chiefs Conference LIHEAP coordinator will record all vendor receipts during the program year. Tracking shall include proof of receipt indicating the quantity of all fuel gallons or wood cords delivered and the price paid for all deliveries.

9.4 How do you assure that no household receiving assistance under this title will be treated adversely because of their receipt of LIHEAP assistance?

Tanana Chiefs Conference maintains a toll free 1 800 line to Fairbanks LIHEAP offices for the public to report vendor fraud. The vendor agreement states: "The recipient will be treated uniformly with other customers and the vendor shall not otherwise discriminate against the recipient." The vendor must sign this agreement. Also, Tribal members utilize their Tribal office personnel and Chiefs, and Village Tribal Council members, and office personnel all care for their Elders and community members.

9.5. Do you make payments contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households?

Yes No

If so, describe the measures unregulated vendors may take.

All vendors who receive payment for an approved LIHEAP household must have signed a vendor agreement before payments are issued. Tanana Chiefs Conference maintains a toll free 1 800 line to Fairbanks LIHEAP offices for the public to report vendor fraud.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 10 - Program, Fiscal Monitoring, and Audit, 2605(b)(10) - Assurance 10

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Section 10: Program, Fiscal Monitoring, and Audit, 2605(b)(10)

10.1. How do you ensure good fiscal accounting and tracking of LIHEAP funds?

The Tanana Chiefs Conference has an automated accounting system (Oracle). The accounting format utilized by Tanana Chiefs Conference has been approved by State and Federal auditors as meeting all criteria to comply with State and Federal grants and contract reporting requirements.

Audit Process

10.2. Is your LIHEAP program audited annually under the Single Audit Act and OMB Circular A - 133?

Yes No

10.3. Describe any audit findings rising to the level of material weakness or reportable condition cited in the A-133 audits, Grantee monitoring assessments, inspector general reviews, or other government agency reviews of the LIHEAP agency from the most recently audited fiscal year.

No Findings

| Finding | Type | Brief Summary | Resolved? | Action Taken |
|---------|------|---------------|-----------|--------------|
| 1 | | | | |

10.4. Audits of Local Administering Agencies

What types of annual audit requirements do you have in place for local administering agencies/district offices? Select all that apply.

- Local agencies/district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133
- Local agencies/district offices are required to have an annual audit (other than A-133)
- Local agencies/district offices' A-133 or other independent audits are reviewed by Grantee as part of compliance process.
- Grantee conducts fiscal and program monitoring of local agencies/district offices

Compliance Monitoring

10.5. Describe the Grantee's strategies for monitoring compliance with the Grantee's and Federal LIHEAP policies and procedures: Select all that apply

Grantee employees:

- Internal program review
- Departmental oversight
- Secondary review of invoices and payments
- Other program review mechanisms are in place. Describe:

The TCC automated accounting system and program reports allow the LIHEAP program to be monitored regularly for accuracy. The Tanana Chiefs Conference staff will make every effort to see that the program is delivered in compliance within the regulations of LIHEAP by conducting monthly random samples of applications paid and testing them for accuracy and compliance. Also, TCC has an outside auditor agency that selects LIHEAP every year to look at records and TCC LIHEAP passes with no findings each year.

Local Administering Agencies / District Offices:

- On - site evaluation
- Annual program review

| |
|--|
| <input type="checkbox"/> Monitoring through central database |
| <input type="checkbox"/> Desk reviews |
| <input type="checkbox"/> Client File Testing / Sampling |
| <input type="checkbox"/> Other program review mechanisms are in place. Describe: |
| |
| |
| 10.6 Explain, or attach a copy of your local agency monitoring schedule and protocol. |
| |
| |
| 10.7. Describe how you select local agencies for monitoring reviews. |
| Site Visits: |
| Desk Reviews: |
| |
| 10.8. How often is each local agency monitored ? |
| |
| 10.9. What is the combined error rate for eligibility determinations? OPTIONAL |
| |
| 10.10. What is the combined error rate for benefit determinations? OPTIONAL |
| |
| 10.11. How many local agencies are currently on corrective action plans for eligibility and/or benefit determination issues? |
| 10.12. How many local agencies are currently on corrective action plans for financial accounting or administrative issues? |
| If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here. |

Section 11 - Timely and Meaningful Public Participation, , 2605(b)(12) - Assurance 12, 2605(c)(2)

| | |
|---|---|
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| <p>LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY</p> | |

Section 11: Timely and Meaningful Public Participation, 2605(b)(12), 2605(C)(2)

11.1 How did you obtain input from the public in the development of your LIHEAP plan?
 Select all that apply.

- Tribal Council meeting(s)
- Public Hearing(s)
- Draft Plan posted to website and available for comment
- Hard copy of plan is available for public view and comment
- Comments from applicants are recorded
- Request for comments on draft Plan is advertised
- Stakeholder consultation meeting(s)
- Comments are solicited during outreach activities
- Other - Describe:

Flyers are distributed to each Tribal Council Office within Tanana Chiefs Conference LIHEAP service area notifying the public of a public toll-free teleconference being held for public comment in addition where the LIHEAP draft plan can be found on-line at www.tananachiefs.org. Also, public comment is also available and arranged when Division Director or staff travels to the villages. All Tribal offices in the Villages have toll free contact numbers to the manager and intake specialist for LIHEAP public comment access throughout the year. Training on a 1-on-1 basis with tribes is provided.

11.2 What changes did you make to your LIHEAP plan as a result of this participation?

We had multiple Tribal calls from all the villages starting September 2020 through August, 2021. Attached are some recorded notes showing discussions regarding outreach provided to TCC's LIHEAP service area. with the winter phone/email outreach to tribes, it was apparent that tribes wanted more assistance with application process. During the tribal meetings, tribes support using the State Median Income at 60%, instead of the 150% of the Federal Guidelines for Alaska. This would allow for more households to qualify for the energy assistance, which is especially needed during this time of the COVID pandemic.

FY2021 response to requests from Tribes and public comment:

Energy Assistance Coordinator provided one one one trainings with 1-3 tribes at a time to help with the application process and provides regular status updates to tribes.

We will remain 60% State Median guidelines, instead of 150% of Federal Guidelines for State of Alaska to include more households to qualify. We are not including the SSI/SSA income so that more Elders and Tribal members with Designated Disabilities may qualify. We will change the vendor agreement contract for FY22 to exclude green wood delivery and clarify that only dry spruce wood must be delivered to clients.

Public Hearings, 2605(a)(2) - For States and the Commonwealth of Puerto Rico Only

11.3 List the date and location(s) that you held public hearing(s) on the proposed use and distribution of your LIHEAP funds?

| | Date | Event Description |
|---|------------|---|
| 1 | 09/01/2020 | Tribal Outreach email 39 tribes regarding Energy Assistance program |
| 2 | 09/03/2020 | Tribal Zoom Comment call |
| 3 | 09/24/2020 | Tribal Outreach email 1 tribe, discuss LIHEAP program |
| 4 | 09/25/2020 | Tribal Zoom-Outreach 1 tribe, discuss LIHEAP program |
| 5 | 09/28/2020 | Tribal Outreach email 39 tribes regarding Energy Assistance program |
| 6 | 10/20/2020 | Tribal Outreach email 39 tribes regarding En |

| | | |
|----|------------|---|
| | | ergy Assistance program |
| 7 | 10/21/2020 | Tribal Call-Outreach 1 tribe, discuss LIHEAP program |
| 8 | 11/03/2020 | Tribal Zoom-Outreach 1 tribe, discuss LIHEAP program |
| 9 | 10/28/2020 | Tribal Outreach email 1 tribe,new staff contact for LIHEAP program |
| 10 | 11/17/2020 | Tribal Outreach email 1 tribe,new staff contact for LIHEAP program |
| 11 | 12/14/2020 | Tribal Outreach email 39 tribes regarding Energy Assistance program |
| 12 | 12/15/2020 | Tribal Outreach email 1 tribes regarding Energy Assistance program |
| 13 | 12/29/2020 | Tribal Zoom-Training to tribes, discuss LIHEAP application process |
| 14 | 01/11/2021 | Tribal Zoom-Outreach 1 tribe, discuss LIHEAP program |
| 15 | 01/12/2021 | Tribal Zoom Public Comment calls |
| 16 | 01/28/2021 | Tribal Zoom-Training to tribes, discuss LIHEAP application process |
| 17 | 02/02/2021 | Tribal Zoom-Training to tribes, discuss LIHEAP application process |
| 18 | 02/01/2021 | Tribal Outreach email tribes regarding Energy Assistance program |
| 19 | 04/01/2021 | Tribal Zoom-Training to tribes, discuss LIHEAP application process |
| 20 | 05/14/2021 | Virtual training Tribes ASAP |
| 21 | 06/24/2021 | Virtual training Tribes ASAP |
| 22 | 08/03/2021 | Sent out Public Comment Notices to all 38 Tribal Offices |
| 23 | 08/09/2021 | Tribal meeting in village Outreach, discuss LIHEAP program |
| 24 | 08/13/2021 | Sent out reminder on Public Hearing date/time to 38 Tribal Offices |
| 25 | 08/17/2021 | Sent out reminder on Public Hearing date/time to 38 Tribal Offices |
| 26 | 08/17/2021 | Tribal Zoom Public Comment calls |
| 27 | 08/17/2021 | Tribal Outreach Public Comment Notices via email |
| 28 | 08/24/2021 | Tribal Outreach Public Comment Notices via email |

11.4. How many parties commented on your plan at the hearing(s)? 4

11.5 Summarize the comments you received at the hearing(s).

- 1. There was a comment made about the need for boiler for baseboard heating to be covered by weatherization. We never had this request before because most of the Tribes have Toyo stove, or wood stoves, or both. According to our plan this should be covered.
- 2. There was a response from the fans for the Elders in the villages and that we should continue with a small portion of EA dedicated to cooling. AC's are not fitting windows in different buildings. It was an extremely hot summer again for the Interior of Alaska with the temperature in the high 80's most of the time, with periodic temperatures in the 90's.
- 3. A request was made that there needs to be more clear language in vendor agreement to exclude greenwood. We determined that was allowable with Tribal approval.
- 4. We received a comment of why did an applicant not receive LIHEAP when only over income by a small amount. (We looked at different avenues, we decided to request the client reapply if income changes. We decided that using the 60%SMI is more beneficial to tribal members.)

With the onset of Covid-19 and contact to contact challenges, we started having regular check-ins with all of the Tribes. With many people losing jobs and changes to household incomes, we sent out a crisis payment using covid funds to all our eligible and approved EA clients. Tribes have stated that they are happy with the State Median and that is why we are keeping this as our guideline for FY22, as it was brought up in the August 2021 Public Hearing.

8/17/2021 Public Comment 10am-12pm

Tribal members from Nulato and Northway called in on the zoom meeting. Healy Lake emailed in.

Comments included: 60% SMI is preferred; do not count SSI/SSA as income; collect applications as soon as they come in; help with applications; weatherization requests are completed when application is submitted-just need clarification; status reports needed on client assistance so none is missed; complaints about green wood; fix vendor agreement; helping a client who is just a little overincome; Child Care credit-how to handle that as income; communication on applications so not backlogged in getting signatures; include boiler to heat baseboard heating in allowable costs and repairs to baseboard heating; be aware that sometimes the villages have low fuel reserves and have challenges holding fuel in current tanks in villages.

11.6 What changes did you make to your LIHEAP plan as a result of the comments received at the public hearing(s)?

Not very many changes made. Boiler for baseboard heating might be considered if it is main source of heat, it could be counted. Good response to the fans/ACs given out so we will be keeping 1% cooling assistance on the 2022 model plan.

FY 22 Model plan will use State Median for Alaska at 60% based on what the Tribes want. Also we are not counting SSI or SSA income to include more Elders and members with Disabilities to be income eligible. a portion of the Child Care Credit will be considered countable income, per federal rules.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 12 - Fair Hearings, 2605(b)(13) - Assurance 13

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Section 12: Fair Hearings, 2605(b)(13) - Assurance 13

12.1 How many fair hearings did the grantee have in the prior Federal fiscal year? 0

12.2 How many of those fair hearings resulted in the initial decision being reversed? None

12.3 Describe any policy and/or procedural changes made in the last Federal fiscal year as a result of fair hearings?

There were no fair hearings requested this Fiscal Year.

12.4 Describe your fair hearing procedures for households whose applications are denied.

Village-based Tribal Workforce Development Specialist or Tribal Administrator staff are available in their respective villages daily, and each working week, and will try to resolve any LIHEAP related concerns at the Tribe. If the concern cannot be resolved at the Tribal office, it will be referred to the LIHEAP Coordinator, who will try to resolve the issue. In the unlikely event that we cannot resolve problems at the village or program levels, the final authority for Tanana Chiefs Conference will be the TCC Family Services & Support Director.

12.5 When and how are applicants informed of these rights?

Applicants are notified of their Fair Hearing rights (in writing) at the time of their application for services. The notification included on each application form reads as follows:

“Any person whose application is denied or not acted upon with reasonable promptness (within 60 days from the receipt of a completed application or within 60 days from the receipt of funding from the granting agency) or whose benefits are reduced or terminated, has a right to a fair hearing before the Tanana Chiefs Conference Family Services & Support Director.

If you desire a hearing you may request it by telephone, in person, or in writing, through the Family Services & Support Director, Tanana Chiefs Conference, 122 First Avenue, Suite 600, Fairbanks, Alaska 99701. You must make your request within 30 days after you are mailed a notice of decision on your application.

Tanana Chiefs Conference ASAP Program Service staff are available to help you request a hearing. At the hearing you may represent yourself. You may also be represented (at your own expense) by legal counsel or by another person of your choice.”

12.6 Describe your fair hearing procedures for households whose applications are not acted on in a timely manner.

Village-based Tribal Workforce Development Specialist or Tribal Administrator staff is available in their respective villages, daily, each working week, and will try to resolve any LIHEAP related problems or concerns at the village level. If the problem cannot be resolved at the village level, it will be referred to the TCC LIHEAP Coordinator, who will try to resolve the issue. In the unlikely event that we cannot resolve problems at the village or program levels, the final authority for Tanana Chiefs Conference will be the TCC Family Services & Support Director.

12.7 When and how are applicants informed of these rights?

Applicants are notified of their Fair Hearing rights (in writing) at the time of their application for services. The notification included on each application form reads as follows:

“Any person whose application is denied or not acted upon with reasonable promptness (within 60 days from the receipt of a completed application or within 60 days from the receipt of funding from the granting agency) or whose benefits are reduced or terminated, has a right to a fair hearing before the Tanana Chiefs Conference Family Services & Support Director.

If you desire a hearing you may request it by telephone, in person, or in writing, through the Family Services & Support Director, Tanana Chiefs Conference, Inc. 122 First Avenue, Suite 600, Fairbanks, Alaska 99701. You must make your request within 30 days after you are mailed a notice of decision on your application.

Tanana Chiefs Conference, Inc. Family Services staff are available to help you request a hearing. At the hearing you may represent yourself. You may also be represented (at your own expense) by legal counsel or by another person of your choice.”

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 13 - Reduction of home energy needs,2605(b)(16) - Assurance 16

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Section 13: Reduction of home energy needs, 2605(b)(16) - Assurance 16

13.1 Describe how you use LIHEAP funds to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance?

Tanana Chiefs Conference Housing Program educates households on how they can reduce the cost of energy needs when weatherization work is being performed on their homes. Tanana Chiefs Conference has on staff a Rural Energy Coordinator who works closely with tribes on how to reduce energy cost and counsels households on reducing their energy burdens for the entire community and with internal coordination of services the LIHEAP program has been able to provide low energy cost items such as energy efficient light bulbs to be available during our annual Tanana Chiefs Conference Convention. Staff have also put out a newsletter in 2021 in The TCC Council on Energy Tips for its tribal members.

13.2 How do you ensure that you don't use more than 5% of your LIHEAP funds for these activities?

Using accounting reporting systems allows for grants management report to be accessed ensuring expenditures do not exceed 5%.

13.3 Describe the impact of such activities on the number of households served in the previous Federal fiscal year.

The impact has mostly affected the Tribal offices and community buildings such as the Tribal Hall and washeteria (laundromat, showers). The Rural Energy Coordinator has worked with the Tribes to ensure their village generator and power plant are working energy efficiently. Meetings with the Rural Energy Coordinator, David Pelunis-Messier we are looking at working more closely with the Tribal households to improve energy efficiency across the Region.

13.4 Describe the level of direct benefits provided to those households in the previous Federal fiscal year.

The direct benefit is lower costs of electricity so far in several of the villages, including using solar power in two villages. Partnering with our Rural Energy Program out of another Division we will be able to do more in FY22 for individual households, specifically in the following areas: Storm windows, seal air leaks, insulation, curtains that block drafts, wood stove or monitor maintenance for efficiency. To determine the actual direct energy conservation benefit we would like to partner with our Rural Energy Program to assist with energy audits, before and after improvements and look where we can have those done.

13.5 How many households applied for these services? 35

13.6 How many households received these services? 35

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 14 - Leveraging Incentive Program ,2607A

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**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP)
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Section 14:Leveraging Incentive Program, 2607(A)

14.1 Do you plan to submit an application for the leveraging incentive program?

Yes No

14.2 Describe instructions to any third parties and/or local agencies for submitting LIHEAP leveraging resource information and retaining records.

Maintaining a ceiling below in #1 is set in agreement with the Tribes. The state PCE program helps to keep rural villages cost of power at a reduced rate and this is a state program: Alaska Power Cost Equilization (below #2).

14.3 For each type of resource and/or benefit to be leveraged in the upcoming year that will meet the requirements of 45 C.F.R. § 96.87(d)(2)(iii), describe the following:

| Resource | What is the type of resource or benefit ? | What is the source(s) of the resource ? | How will the resource be integrated and coordinated with LIHEAP? |
|----------|---|--|--|
| 1 | Maintaining a ceiling on the price of a cord of wood with all Wood Vendors. | The Tribal Offices and Vendors in each village. Maintaining a ceiling on the price of a cord of wood of \$5.00, less market value. The project will participate only with wood vendors willing to contract services at or below this established program rate. | Increase benefits to LIHEAP eligible households |
| 2 | State PCE Reduce the cost of power to customers in rural parts of Alaska | The State of Alaska - Alaska Power Cost Equilization program. The State Legislature appropriates state funds for the PCE program each year. | Coordinated efforts to reduce home energy costs |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 15 - Training

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Section 15: Training

15.1 Describe the training you provide for each of the following groups:

a. Grantee Staff:

Formal training on grantee policies and procedures

How often?

Annually

Biannually

As needed

Other - Describe: New Hire

Employees are provided with policy manual

Other-Describe:

Employees are provided with an operations manual. Energy Assistance Coordinator performs one on one training with employees on how to process and determining eligibility for Energy Assistance. Tribal Workers - Tribal Workforce Development Specialist located at our tribal village communities participate in bi-annual training where Energy Assistance application process is presented.

b. Local Agencies:

Formal training conference

How often?

Annually

Biannually

As needed

Other - Describe:

On-site training

How often?

Annually

Biannually

As needed

Other - Describe:

Employees are provided with policy manual

Other - Describe

c. Vendors

Formal training conference

How often?

Annually

Biannually

As needed

Other - Describe:

Policies communicated through vendor agreements

| |
|--|
| <input type="checkbox"/> Policies are outlined in a vendor manual |
| <input type="checkbox"/> Other - Describe: |
| 15.2 Does your training program address fraud reporting and prevention? <input checked="" type="radio"/> Yes <input type="radio"/> No |
| If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here. |

Section 16 - Performance Goals and Measures, 2605(b)

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Section 16: Performance Goals and Measures, 2605(b) - Required for States Only

16.1 Describe your progress toward meeting the data collection and reporting requirements of the four required LIHEAP performance measures. Include timeframes and plans for meeting these requirements and what you believe will be accomplished in the coming federal fiscal year.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 17 - Program Integrity, 2605(b)(10)

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**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP)
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Section 17: Program Integrity, 2605(b)(10)

17.1 Fraud Reporting Mechanisms

a. Describe all mechanisms available to the public for reporting cases of suspected waste, fraud, and abuse. Select all that apply.

- Online Fraud Reporting
- Dedicated Fraud Reporting Hotline
- Report directly to local agency/district office or Grantee office
- Report to State Inspector General or Attorney General
- Forms and procedures in place for local agencies/district offices and vendors to report fraud, waste, and abuse
- Other - Describe:

Village-based Tribal Workforce Development Specialist or Tribal Administrator staff is available in their respective villages, daily, each working week, and will try to resolve any LIHEAP related problems or concerns at the village level. Reports of suspected waste, fraud, or abuse is reported to the TCC Fairbanks office staff from the Tribal office.

b. Describe strategies in place for advertising the above-referenced resources. Select all that apply

- Printed outreach materials
- Addressed on LIHEAP application
- Website
- Other - Describe:

17.2. Identification Documentation Requirements

a. Indicate which of the following forms of identification are required or requested to be collected from LIHEAP applicants or their household members.

| Type of Identification Collected | Collected from Whom? | | | | | | |
|---|-------------------------------------|----------------|-------------------------------------|-------------------------|-------------------------------------|-----------------------|-----------------------|
| | | Applicant Only | Applicant Only | All Adults in Household | All Adults in Household | All Household Members | All Household Members |
| Social Security Card is photocopied and retained | <input checked="" type="checkbox"/> | Required | <input type="checkbox"/> | Required | <input type="checkbox"/> | Required | |
| | <input type="checkbox"/> | Requested | <input checked="" type="checkbox"/> | Requested | <input checked="" type="checkbox"/> | Requested | |
| Social Security Number (Without actual Card) | <input checked="" type="checkbox"/> | Required | <input checked="" type="checkbox"/> | Required | <input checked="" type="checkbox"/> | Required | |
| | <input type="checkbox"/> | Requested | <input type="checkbox"/> | Requested | <input type="checkbox"/> | Requested | |
| Government-issued identification card (i.e.: driver's license, state ID, Tribal ID, passport, etc.) | <input checked="" type="checkbox"/> | Required | <input type="checkbox"/> | Required | <input type="checkbox"/> | Required | |
| | <input type="checkbox"/> | Requested | <input checked="" type="checkbox"/> | Requested | <input checked="" type="checkbox"/> | Requested | |
| Other | | Applicant Only | Applicant Only | All Adults in | All Adults in | All Household | All Household |

| | Required | Requested | Household Required | Household Requested | Members Required | Members Requested |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Describe any exceptions to the above policies. | | | | | | |
| State EIS system is used to verify SSN for all household members listed on the application, this system also verifies place of residency. | | | | | | |
| 17.3 Identification Verification | | | | | | |
| Describe what methods are used to verify the authenticity of identification documents provided by clients or household members. Select all that apply | | | | | | |
| <input type="checkbox"/> Verify SSNs with Social Security Administration | | | | | | |
| <input type="checkbox"/> Match SSNs with death records from Social Security Administration or state agency | | | | | | |
| <input checked="" type="checkbox"/> Match SSNs with state eligibility/case management system (e.g., SNAP, TANF) | | | | | | |
| <input checked="" type="checkbox"/> Match with state Department of Labor system | | | | | | |
| <input type="checkbox"/> Match with state and/or federal corrections system | | | | | | |
| <input checked="" type="checkbox"/> Match with state child support system | | | | | | |
| <input type="checkbox"/> Verification using private software (e.g., The Work Number) | | | | | | |
| <input checked="" type="checkbox"/> In-person certification by staff (for tribal grantees only) | | | | | | |
| <input type="checkbox"/> Match SSN/Tribal ID number with tribal database or enrollment records (for tribal grantees only) | | | | | | |
| <input checked="" type="checkbox"/> Other - Describe: Match SSN within TCC Energy Assistance Data Base System | | | | | | |
| 17.4. Citizenship/Legal Residency Verification | | | | | | |
| What are your procedures for ensuring that household members are U.S. citizens or aliens who are qualified to receive LIHEAP benefits? Select all that apply. | | | | | | |
| <input type="checkbox"/> Clients sign an attestation of citizenship or legal residency | | | | | | |
| <input checked="" type="checkbox"/> Client's submission of Social Security cards is accepted as proof of legal residency | | | | | | |
| <input type="checkbox"/> Noncitizens must provide documentation of immigration status | | | | | | |
| <input type="checkbox"/> Citizens must provide a copy of their birth certificate, naturalization papers, or passport | | | | | | |
| <input type="checkbox"/> Noncitizens are verified through the SAVE system | | | | | | |
| <input checked="" type="checkbox"/> Tribal members are verified through Tribal enrollment records/Tribal ID card | | | | | | |
| <input checked="" type="checkbox"/> Other - Describe: State ID or Drivers License | | | | | | |
| 17.5. Income Verification | | | | | | |
| What methods does your agency utilize to verify household income? Select all that apply. | | | | | | |
| <input checked="" type="checkbox"/> Require documentation of income for all adult household members | | | | | | |
| <input checked="" type="checkbox"/> Pay stubs | | | | | | |
| <input type="checkbox"/> Social Security award letters | | | | | | |
| <input checked="" type="checkbox"/> Bank statements | | | | | | |
| <input checked="" type="checkbox"/> Tax statements | | | | | | |
| <input checked="" type="checkbox"/> Zero-income statements | | | | | | |
| <input checked="" type="checkbox"/> Unemployment Insurance letters | | | | | | |
| <input checked="" type="checkbox"/> Other - Describe: Work statements completed by employer, annual retirement benefit statement. Self-employment form. | | | | | | |
| <input checked="" type="checkbox"/> Computer data matches: | | | | | | |
| <input checked="" type="checkbox"/> Income information matched against state computer system (e.g., SNAP, TANF) | | | | | | |
| <input checked="" type="checkbox"/> Proof of unemployment benefits verified with state Department of Labor | | | | | | |

| |
|--|
| <input type="checkbox"/> Social Security income verified with SSA |
| <input type="checkbox"/> Utilize state directory of new hires |
| <input type="checkbox"/> Other - Describe: |
| 17.6. Protection of Privacy and Confidentiality |
| Describe the financial and operating controls in place to protect client information against improper use or disclosure. Select all that apply. |
| <input checked="" type="checkbox"/> Policy in place prohibiting release of information without written consent |
| <input checked="" type="checkbox"/> Grantee LIHEAP database includes privacy/confidentiality safeguards |
| <input checked="" type="checkbox"/> Employee training on confidentiality for: |
| <input checked="" type="checkbox"/> Grantee employees |
| <input type="checkbox"/> Local agencies/district offices |
| <input checked="" type="checkbox"/> Employees must sign confidentiality agreement |
| <input checked="" type="checkbox"/> Grantee employees |
| <input type="checkbox"/> Local agencies/district offices |
| <input checked="" type="checkbox"/> Physical files are stored in a secure location |
| <input type="checkbox"/> Other - Describe: |
| 17.7. Verifying the Authenticity |
| What policies are in place for verifying vendor authenticity? Select all that apply. |
| <input type="checkbox"/> All vendors must register with the State/Tribe. |
| <input checked="" type="checkbox"/> All vendors must supply a valid SSN or TIN/W-9 form |
| <input type="checkbox"/> Vendors are verified through energy bills provided by the household |
| <input type="checkbox"/> Grantee and/or local agencies/district offices perform physical monitoring of vendors |
| <input checked="" type="checkbox"/> Other - Describe and note any exceptions to policies above: All private business vendors are required to have a current State of Alaska business license on file. All private business vendors will be required to provide documentation of their current State of Alaska business license as an attachment to their vendor contract. |
| 17.8. Benefits Policy - Gas and Electric Utilities |
| What policies are in place to protect against fraud when making benefit payments to gas and electric utilities on behalf of clients? Select all that apply. |
| <input type="checkbox"/> Applicants required to submit proof of physical residency |
| <input checked="" type="checkbox"/> Applicants must submit current utility bill |
| <input type="checkbox"/> Data exchange with utilities that verifies: |
| <input type="checkbox"/> Account ownership |
| <input type="checkbox"/> Consumption |
| <input type="checkbox"/> Balances |
| <input type="checkbox"/> Payment history |
| <input type="checkbox"/> Account is properly credited with benefit |
| <input type="checkbox"/> Other - Describe: |
| <input type="checkbox"/> Centralized computer system/database tracks payments to all utilities |
| <input checked="" type="checkbox"/> Centralized computer system automatically generates benefit level |
| <input checked="" type="checkbox"/> Separation of duties between intake and payment approval |
| <input type="checkbox"/> Payments coordinated among other energy assistance programs to avoid duplication of payments |
| <input checked="" type="checkbox"/> Payments to utilities and invoices from utilities are reviewed for accuracy |
| <input checked="" type="checkbox"/> Computer databases are periodically reviewed to verify accuracy and timeliness of payments made to utilities |
| <input type="checkbox"/> Direct payment to households are made in limited cases only |
| <input type="checkbox"/> Procedures are in place to require prompt refunds from utilities in cases of account closure |

| |
|--|
| <input checked="" type="checkbox"/> Vendor agreements specify requirements selected above, and provide enforcement mechanism |
| <input checked="" type="checkbox"/> Other - Describe: <p>If, after the original grant award is exhausted, an eligible household faces a home-heating energy source termination including electricity disconnections, additional assistance up to 25% of the original grant will be paid to the householder's vendor or to an electricity vendor in situations in which the primary home heating system is dependent upon electricity for its operation. Vendor agreement with electric utility vendor will be completed prior to any funds being released for the approved LIHEAP household.</p> |
| 17.9. Benefits Policy - Bulk Fuel Vendors |
| What procedures are in place for averting fraud and improper payments when dealing with bulk fuel suppliers of heating oil, propane, wood, and other bulk fuel vendors? Select all that apply. |
| <input checked="" type="checkbox"/> Vendors are checked against an approved vendors list |
| <input checked="" type="checkbox"/> Centralized computer system/database is used to track payments to all vendors |
| <input checked="" type="checkbox"/> Clients are relied on for reports of non-delivery or partial delivery |
| <input type="checkbox"/> Two-party checks are issued naming client and vendor |
| <input checked="" type="checkbox"/> Direct payment to households are made in limited cases only |
| <input type="checkbox"/> Vendors are only paid once they provide a delivery receipt signed by the client |
| <input type="checkbox"/> Conduct monitoring of bulk fuel vendors |
| <input type="checkbox"/> Bulk fuel vendors are required to submit reports to the Grantee |
| <input checked="" type="checkbox"/> Vendor agreements specify requirements selected above, and provide enforcement mechanism |
| <input type="checkbox"/> Other - Describe: <p>If there is a supply shortage by exhaustion of bulk fuel storage, natural disaster or vendor mismanagement, additional payments will be made if no other agency will provide for the applicants energy and fuel sources needed and transportation cost. Vendor agreement will be completed before funds are sent for approved LIHEAP households.</p> |
| 17.10. Investigations and Prosecutions |
| Describe the Grantee's procedures for investigating and prosecuting reports of fraud, and any sanctions placed on clients/staff/vendors found to have committed fraud. Select all that apply. |
| <input type="checkbox"/> Refer to state Inspector General |
| <input type="checkbox"/> Refer to local prosecutor or state Attorney General |
| <input type="checkbox"/> Refer to US DHHS Inspector General (including referral to OIG hotline) |
| <input type="checkbox"/> Local agencies/district offices or Grantee conduct investigation of fraud complaints from public |
| <input checked="" type="checkbox"/> Grantee attempts collection of improper payments. If so, describe the recoupment process <p>In the case where funds need to be returned to the Tanana Chiefs Conference Energy Assistance program because of an improper payment the following process will be used;</p> <ol style="list-style-type: none"> 1. Notify the vendor immediately of the improper payment 2. Request the vendor to return the funds for the named LIHEAP head of household 3. Send an email to vendor documenting the request including reason for the improper payment, the dollar amount that needs to be returned, the name of the LIHEAP head of household's name. 4. Document in the notes section of the Tanana Chiefs Conference Energy Assistance data base of the improper payment and the steps taken to recoup payment. 5. Document in the notes section of the Tanana Chiefs Conference Energy Assistance data base when the funds have been returned 6. Send the returned payment to the Tanana Chiefs Conference accounting department. |
| <input checked="" type="checkbox"/> Clients found to have committed fraud are banned from LIHEAP assistance. For how long is a household banned? 1 year |
| <input type="checkbox"/> Contracts with local agencies require that employees found to have committed fraud are reprimanded and/or terminated |
| <input checked="" type="checkbox"/> Vendors found to have committed fraud may no longer participate in LIHEAP |
| <input type="checkbox"/> Other - Describe: <p>In the case when a household is found to committed fraud the following process will be used.</p> <ol style="list-style-type: none"> 1. Check mark the box concern and document in the concern notes section of the Tanana Chiefs Conference Energy Assistance data base of the fraud finding. 2. Generate a letter to the household informing them of the fraud finding and the penalty of not being eligible to receiving Energy Assistance up to <u>1 Fiscal year</u>. 3. Concern history report is available within the TCC Energy Assistance data base, that will list the household, list the fraud finding, list if a penalty was imposed and the year the household will be eligible to apply for Energy Assistance again. |
| If any of the above questions require further explanation or clarification that could not be made in |

the fields provided, attach a document with said explanation here.

Section 18: Certification Regarding Debarment, Suspension, and Other Responsibility Matters

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or

voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later

determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

By checking this box, the prospective primary participant is providing the certification set out above.

Section 19: Certification Regarding Drug-Free Workplace Requirements

Section 19: Certification Regarding Drug-Free Workplace Requirements

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about --
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification

number(s) of each affected grant;
 (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted -(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
 (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).
 (B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

| | | |
|---|----------------------|----------------------------|
| Tanana Chiefs Conference * Address Line 1 | | |
| 122 First Avenue, Suite 600 Address Line 2 | | |
| Address Line 3 | | |
| Fairbanks * City | AK * State | 99701 * Zip Code |

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

By checking this box, the prospective primary participant is providing the certification set out above.

Section 20: Certification Regarding Lobbying

Section 20: Certification Regarding Lobbying

The submitter of this application certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By checking this box, the prospective primary participant is providing the certification set out above.

Assurances

Assurances

(1) use the funds available under this title to--

(A) conduct outreach activities and provide assistance to low income households in meeting their home energy costs, particularly those with the lowest incomes that pay a high proportion of household income for home energy, consistent with paragraph (5);

(B) intervene in energy crisis situations;

(C) provide low-cost residential weatherization and other cost-effective energy-related home repair;and

(D)plan, develop, and administer the State's program under this title including leveraging programs, and the State agrees not to use such funds for any purposes other than those specified in this title;

(2) make payments under this title only with respect to--

(A) households in which one or more individuals are receiving--

(i)assistance under the State program funded under part A of title IV of the Social Security Act;

(ii) supplemental security income payments under title XVI of the Social Security Act;

(iii) food stamps under the Food Stamp Act of 1977; or

(iv) payments under section 415, 521, 541, or 542 of title 38, United States Code, or under section 306 of the Veterans' and Survivors' Pension Improvement Act of 1978; or

(B) households with incomes which do not exceed the greater of -

(i) an amount equal to 150 percent of the poverty level for such State; or

(ii) an amount equal to 60 percent of the State median income;

(except that a State may not exclude a household from eligibility in a fiscal year solely on the basis of household income if such income is less than 110 percent of the poverty level for such State, but the State may give priority to those households with the highest home energy costs or needs in relation to household income.

(3) conduct outreach activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals, or both, and households with high home energy burdens, are made aware of the assistance available under this title, and any similar energy-related assistance available under subtitle B of title VI (relating to community services block grant program) or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(4) coordinate its activities under this title with similar and related programs administered by the Federal Government and such State, particularly low-income

energy-related programs under subtitle B of title VI (relating to community services block grant program), under the supplemental security income program, under part A of title IV of the Social Security Act, under title XX of the Social Security Act, under the low-income weatherization assistance program under title IV of the Energy Conservation and Production Act, or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(5) provide, in a timely manner, that the highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income, taking into account family size, except that the State may not differentiate in implementing this section between the households described in clauses 2(A) and 2(B) of this subsection;

(6) to the extent it is necessary to designate local administrative agencies in order to carry out the purposes of this title, to give special consideration, in the designation of such agencies, to any local public or private nonprofit agency which was receiving Federal funds under any low-income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that -

(A) the State shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the State; and

(B) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the State shall give special consideration in the designation of local administrative agencies to any successor agency which is operated in substantially the same manner as the predecessor agency which did receive funds for the fiscal year preceding the fiscal year for which the determination is made;

(7) if the State chooses to pay home energy suppliers directly, establish procedures to --

(A) notify each participating household of the amount of assistance paid on its behalf;

(B) assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment made by the State under this title;

(C) assure that the home energy supplier will provide assurances that any agreement entered into with a home energy supplier under this paragraph will contain provisions to assure that no household receiving assistance under this title will be treated adversely because of such assistance under applicable provisions of State law or public regulatory requirements; and

(D) ensure that the provision of vendor payments remains at the option of the State in consultation with local grantees and may be contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households, including providing for agreements between suppliers and individuals eligible for benefits under this Act that seek to reduce home energy costs, minimize the risks of home energy crisis, and encourage regular payments by individuals receiving financial assistance for home energy costs;

(8) provide assurances that,

(A) the State will not exclude households described in clause (2)(B) of this subsection from receiving home energy assistance benefits under clause (2), and

(B) the State will treat owners and renters equitably under the program assisted under this title;

(9) provide that--

(A) the State may use for planning and administering the use of funds under this title an amount not to exceed 10 percent of the funds payable to such State under this title for a fiscal year; and

(B) the State will pay from non-Federal sources the remaining costs of planning and administering the program assisted under this title and will not use Federal funds for such remaining cost (except for the costs of the activities described in paragraph (16));

(10) provide that such fiscal control and fund accounting procedures will be established as may be necessary to assure the proper disbursement of and accounting for Federal funds paid to the State under this title, including procedures for monitoring the assistance provided under this title, and provide that the State will comply with the provisions of chapter 75 of title 31, United States Code (commonly known as the "Single Audit Act");

(11) permit and cooperate with Federal investigations undertaken in accordance with section 2608;

(12) provide for timely and meaningful public participation in the development of the plan described in subsection (c);

(13) provide an opportunity for a fair administrative hearing to individuals whose claims for assistance under the plan described in subsection (c) are denied or are not acted upon with reasonable promptness; and

(14) cooperate with the Secretary with respect to data collecting and reporting under section 2610.

(15) * beginning in fiscal year 1992, provide, in addition to such services as may be offered by State Departments of Public Welfare at the local level, outreach and intake functions for crisis situations and heating and cooling assistance that is administered by additional State and local governmental entities or community-based organizations (such as community action agencies, area agencies on aging and not-for-profit neighborhood-based organizations), and in States where such organizations do not administer functions as of September 30, 1991, preference in awarding grants or contracts for intake services shall be provided to those agencies that administer the low-income weatherization or energy crisis intervention programs.

*** This assurance is applicable only to States, and to territories whose annual regular LIHEAP allotments exceed \$200,000. Neither territories with annual allotments of \$200,000 or less nor Indian tribes/tribal organizations are subject to Assurance 15.**

(16) use up to 5 percent of such funds, at its option, to provide services that encourage and enable households to reduce their home energy needs and

thereby the need for energy assistance, including needs assessments, counseling, and assistance with energy vendors, and report to the Secretary concerning the impact of such activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.

Plan Attachments

| PLAN ATTACHMENTS |
|---|
| The following documents must be attached to this application |
| <ul style="list-style-type: none">• Delegation Letter is required if someone other than the Governor or Chairman Certified this Report. |
| <ul style="list-style-type: none">• Heating component benefit matrix, if applicable |
| <ul style="list-style-type: none">• Cooling component benefit matrix, if applicable |
| <ul style="list-style-type: none">• Minutes, notes, or transcripts of public hearing(s). |